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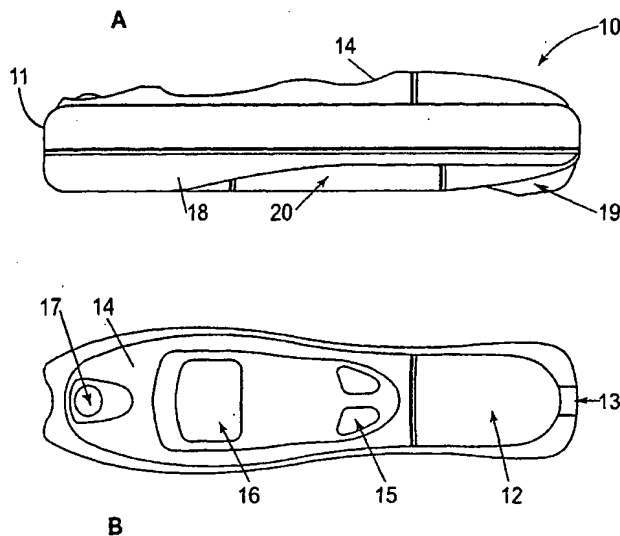
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(54) Title: DIAGNOSTIC DEVICE



(57) Abstract: The invention relates to a diagnostic device 10 for collecting and analysing a biological sample comprising, an energy source providing means for perforating, ablating and/or altering the stratum corneum of an area of skin from which the biological sample is to be collected; a housing 21 for receiving at least one test strip 22, the test strip being adapted to collect biological sample from the perforated, ablated and/or altered area of skin; and analysing means for conducting diagnostic analysis of the collected sample. The invention also relates to a cartridge 20 containing a plurality of test strips 22 for collecting a biological sample, each test strip comprising an absorbent portion 35 for absorbing the biological sample at an area of skin which has had applied thereto an energy source to perforate, ablate or alter the stratum corneum of the skin, wherein said test strips are adapted to facilitate transmission of the energy source to the skin.



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

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**DIAGNOSTIC DEVICE**

The present invention relates to a diagnostic device for use in the medical field. More particularly, the invention relates to a device which, in use, perforates, ablates or alters the stratum corneum layer of the skin and subsequently or simultaneously performs a diagnostic test on fluids, gases and/or biomolecules removed from or permeating through the skin following the perforation, ablation or alteration.

Traditional methods for the collection of small quantities of fluids or gases from a patient utilizes mechanical puncture of the skin with a sharp device such as a metal lancet or needle. These procedures have many drawbacks, two of which are the possible infection of health-care workers or the public at large with the device used to perforate the skin, and the costly handling and disposal of biologically hazardous waste.

When skin is punctured with a sharp device such as a metal lancet or needle, biological waste is created in the form of the "sharp" which is contaminated by the patients blood and/or tissue. If the patient is infected with any number of blood-borne agents, such as human immunodeficiency virus (HIV) which causes acquired immune deficiency syndrome (AIDS), hepatitis virus or the etiological agent of other diseases, the contaminated sharp can pose a serious threat to others who come in contact with it. There are many documented instances of HIV infection of medical workers who have been accidentally stabbed by a contaminated sharp.

Disposal of sharps is also a major problem. Disposal of contaminated materials poses both a logistic and a financial burden on the end user, such as the medial institution. In the 1980s, numerous instances of improperly disposed biological wastes being washed up on public beaches occurred. The potential for others, such as intravenous drug users, to obtain improperly disposed needles is also problematic.

There exists additional drawbacks to the traditional method of puncturing the skin of a patient with a sharp instrument for the purpose of drawing fluids or gases. Often, the

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stabbing procedure must be repeated, often resulting in significant stress and anxiety in the patient. The pain associated with being stabbed by a sharp instrument can be a traumatizing procedure, especially in pediatric patients. This can also cause significant stress and anxiety in the patient.

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Clearly the current procedure for puncturing skin for the purpose of drawing fluids or gases has significant inherent problems. These problems arise because a sharp instrument is used in the procedure. Thus, there has existed a need for techniques to remove biomolecules, fluids or gases, and to administer pharmaceutical agents, which do not use a sharp instrument. Such methods would obviate the need for disposal of contaminated instruments, and reduce the risk of cross infection.

Lasers have been used in recent years as a very efficient and precise tool in a variety of surgical procedures. Among potentially new sources of laser radiation, the rare-earth elements are of major interest for medicine. The most promising of these is a YAG (yttrium, aluminum, garnet) crystal doped with erbium (Er) ions. With the use of this crystal, it is possible to build an Erbium:YAG (Er:YAG) laser which can be configured to emit electromagnetic energy at a wavelength (2.94 microns) which is strongly absorbed by water. When tissue, which consists mostly of water, is irradiated with radiation at or near this wavelength, it is rapidly heated. If the intensity of the radiation is sufficient, the heating is rapid enough to cause the vaporization of tissue. Some medical uses of Er:YAG have been described in the health-care disciplines of dentistry, gynaecology and ophthalmology. Reference is made, for example, to Bogdasarov, B.V., et al., "The Effect of YAG:Er Laser Radiation on Solid and Soft Tissues", Preprint 266, Institute of General Physics, Moscow, 1987; and Bol'shakov, E.N. et al., "Experimental Grounds for YAG:Er Laser Application to Dentistry", SPIE 1353:160-169, Lasers and Medicine (1989).

Er:YAG lasers, along with other solid state lasers often employ a polished barrel crystal element such as a polished rod. A laser built with such a polished element maximizes the lasers energy output. Other lasers employ an entirely frosted element, normally with matte of about 50-55 microinch. However, in both cases, the energy output is typically separated

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into a central output beam surrounded by halo rays, or has an otherwise undesirable mode. Since it is extremely difficult to focus halo rays to a specific spot, the laser output may be unacceptable for specific applications.

5 Solid state lasers also typically employ two optic elements in connection with the crystal element. The optic elements consist of the rear (high reflectance) mirror and the front partial reflectance mirror, also known as an output coupler. The crystal element and the optic elements are rigidly mounted in order to preserve the alignment between them. However, changes in temperature, such as that caused by expansion of the crystal rod  
10 during flash lamp exposure, also cause shifts in alignment between the mirrors and the crystals. The misalignment of the mirrors and the crystal element results in laser output energy loss. Thus, the rigidly mounted elements require constant adjustment and maintenance. Moreover, thermal expansion of the crystal element during lasing can cause the crystal to break while it is rigidly attached to a surface with different expansion  
15 characteristics.

The use of a laser to perforate, ablate or alter one or more layers of the skin of a patient in order to remove biomolecules, fluids or gases, or to administer pharmaceutical substances has been proposed, in for example, USSN 08/885,477 which is incorporated herein by  
20 reference. In that application, the alteration of a patient's skin is achieved by irradiating the surface of the skin by a pulse of electromagnetic energy emitted by a laser. Permeability of the stratum corneum may therefore be enhanced without ablation (vaporization) or perforation of tissue, or alternatively by ablating or perforating the stratum corneum. USSN 08/885,477 also suggests that it is possible to very precisely alter skin or  
25 permeability thereof to a selectable extent without causing clinically relevant damage to healthy proximal tissue. The depth and extent of alteration may be accomplished by a judicious selection of the following irradiation parameters: wavelength, energy fluence (determined by dividing the energy of the pulse by the area irradiated), pulse temporal width and irradiation spot size.

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Advantageously, the present invention avoids the use of sharps such as needles,

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conventionally used for sample extraction, thus substantially eliminating the risk of accidental injury to the health care worker, the patient, and anyone who may come into contact with the sharp, whether by accident or by necessity.

- 5 The invention advantageously also provides a safe and effective means for sampling of fluids, gases and/or biomolecules from the body and diagnostic testing of the sample at least in some embodiments in a single step. Furthermore, the invention advantageously avoids any contamination of the sample taken prior to testing of the sample, and avoids contact of the sample with the health care worker conducting the sampling procedure.

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Still further, the invention advantageously provides a device which is portable and which may be operated under battery power, and which may be operated by the person on which the diagnosis is being conducted.

- 15 Advantageously the invention also minimizes any discomfort experienced by the person on which the diagnosis is being performed.

For the purpose of this application, "perforation" will mean only the complete ablation of all layers of the stratum corneum to reduce or eliminate its barrier function. "Ablation"

- 20 may mean, depending upon the context, either partial ablation whereby less than all layers of the stratum corneum are ablated or perforated ablation.

- Certain alterations of molecules in the stratum corneum or interstitial spaces may also occur without actual ablation, and this will result in enhanced permeation of substances  
25 into or out of the body through the skin. For the purpose of this application, the terms "irradiation" or "alteration", or a derivative thereof, will generally mean perforation, ablation or modification which results in enhanced transdermal permeation of substances.

- The mechanism for non-ablative alteration of the stratum corneum is not certain. It may  
30 involve changes in lipid or protein nature or function or from desiccation of the skin. Regardless, laser-induced alteration changes the permeability parameters of the skin in a

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manner which allows for increased passage of fluids and gases across the stratum corneum. For example, a pulse or pulses of infrared laser irradiation at a subablative energy of, for example, 60 mJ per 2 mm spot, reduces or eliminates the barrier function of the stratum corneum and increases permeability without actually ablating or perforating the stratum corneum itself. The technique may be used for transdermal delivery of drugs or other substances, or for obtaining samples of biomolecules, fluids or gases from the body. Different wavelengths of laser radiation and energy levels less than or greater than 60 mJ may also produce the enhanced permeability effects without ablating the skin.

10 Generally, the present invention relates to a diagnostic device for collecting and analysing a biological sample comprising:

an energy source providing means for perforating, ablating and/or altering the stratum corneum of an area of skin from which the biological sample is to be collected;

15 collection means for collecting the biological sample during or subsequent to perforation, ablation and/or alteration of the stratum corneum; and

analysing means for conducting diagnostic analysis of the collected sample.

According to one particular aspect of the invention there is provided a diagnostic device for collecting and analysing a biological sample comprising:

20 an energy source providing means for perforating, ablating and/or altering the stratum corneum of an area of skin from which the biological sample is to be collected;

a housing for receiving at least one test strip, the test strip being adapted to collect biological sample from the perforated, ablated and/or altered area of skin; and

25 analysing means for conducting diagnostic analysis of the collected sample.

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According to this aspect, the device is provided with a housing for receiving at least one test strip. In a preferred embodiment, however, the housing is adapted to receive a cassette which includes a plurality of test strips, each of which is adapted to collect biological sample. The test strips are preferably consatinered within the cartridge, each test strip being fed through an aperture in the cartridge for use as desired. The device itself may also be provided with a guide or guides for guiding the test strips into a desired position for

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collection of biological sample. The device may further be provided with means for deactivating the device until a test strip is suitably positioned on the device. The feeding of the test strips may be manual or automated. Generally, feeding of the tape will be facilitated by a feeding mechanism within the device.

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In a particularly preferred embodiment, the test strips are mounted on a continuous tape, preferably a tape formed from a barrier-type material such as Teflon. More preferably the test strips are spaced apart on the tape such that when housed within the cartridge, sections of the tape which do not have a test strip applied thereto are interposed between adjacent test strips and thereby act as a protective barrier preventing biological cross-contamination between the test strips. Preferably, perforations are provided between individual test strips so that after use a test strip may be removed from the continuous tape and discarded.

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The test strips are preferably designed to facilitate transmission of the energy source through the test strip. For example, where laser ablation technology is employed and the energy source includes a laser, the test strips preferably include a transmission window to facilitate transmission of the laser through the test strip to the area of skin to be perforated, ablated and/or altered. The laser, or other energy source, preferably passes through the transmission window with minimal aberrations and losses. This may be achieved, for example, where the transmission window includes a Teflon film window. Also, the transmission window, for example of Teflon film preferably acts as a protective barrier alleviating or preventing any biological splash-back of ablated material contaminating the device.

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To facilitate collection of the biological sample, for example interstitial fluid, each test strip preferably includes a portion of absorbent material. Most preferably, the absorbent material portion is coincident with the transmission window discussed above. In this case, in a particular embodiment taken for exemplification, interstitial fluid which permeates the skin following perforation, ablation or alteration by application of a laser through a transmission window of the test strip is absorbed by the absorbent portion of the test strip without any repositioning of the device. The method for collection of the sample on the

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test strip may, however, vary depending on the nature of the biological sample to be collected. For example, the form of the test strip may be adapted for the collection of biomolecules from the surface of the skin to which the energy source, for example laser, has been applied or gases which permeate the treated skin. However, according to the particularly preferred form of the invention according to this aspect wherein interstitial fluid is collected using an absorbent portion of the test strip, each of the test strips is preferably provided with a chamber which is in fluid communication with the absorbent portion, for example by means of a capillary, and which receives the interstitial fluid. Most preferably, the chamber takes the form of a testing portion which constitutes the analysing means of the device. In particular, the chamber may include an optical or electrical system, or a combination thereof for conducting a diagnostic analysis on the collected sample. For example an optical system may include an optical colour change system and an electrical system may include an electrical contact incorporated into the test strip.

The above mentioned test strip or test strip cartridge may constitute the collection means, and optionally the testing means of the device as generally described above. Such a cartridge provides substantial advantages to the device according to this aspect of the invention.

In a preferred embodiment the cartridge is encoded and therefor may act as a calibrating device for calibration of the diagnostic device before or during use thereof. In a particularly preferred embodiment, the cartridge includes a micro-PCB which contains a calibration code and an identification number for the cartridge. In this case, the diagnostic device includes means for reading the encoded cartridge.

Accordingly, in another aspect of the invention there is provided a cartridge which includes a plurality of test strips, the cartridge and test strips being as described in the preceding paragraphs. That is, the invention also relates to a cartridge containing a plurality of test strips for collecting a biological sample, each test strip comprising an absorbent portion for absorbing the biological sample at an area of skin which has had

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applied thereto an energy source to perforate, ablate or alter the stratum corneum of the skin, wherein said test strips are adapted to facilitate transmission of the energy source to the skin, preferably by means of a transmission window coincident with or in the vicinity of the absorbent portion which allows transmission of the energy source to the skin.

5 Preferred embodiments of this aspect of the invention will be appreciated from the above description.

The above described test strip application advantageously provides the diagnostic device with "single-step" diagnostic testing. That is, an operator of the device simply places the  
10 device in position and engages the device. The perforation, ablation and/or alteration of the stratum corneum and subsequent collection of sample and testing of the sample is automated and advantageously requires no further action by the operator of the device.

In an alternative embodiment, a "two-step" procedure is envisaged. In that case, the  
15 housing is again adapted to receive a plurality of test strips. In this case, however, the strips are provided in the form of a disc, each test strip being housed within a receptacle on the disc. The disc is, in use, rotatably mounted within the diagnostic device, each test strip being rotated into place for collection of sample as desired.

20 The two-step operation according to this embodiment involves a first step of applying energy to an area of skin to perforate, ablate or alter the stratum corneum. During the first step, the test strip is in a position remote from the area being treated. Following this, in a second step, a test strip is dislodged or ejected from its receptacle into a position to collect biological sample from the treated area of skin. The collection may be as discussed above,  
25 and similarly preferably involves the collection of interstitial fluid. In this case, however, the test strip preferably employs capillary action for collection of the fluid. More particularly, each test strip has a multi-layer structure including a base layer, preferably formed from plastic, P.C. electronic tracks which lead to electrical contacts within the diagnostic device, and an upper domed layer which creates the capillary action within the  
30 test strip. Generally, all of these layers will be laminated together.

The device preferably includes means for monitoring the amount of fluid being collected in the test strip. In this embodiment, as the fluid is drawn into the test strip, the amount of fluid is monitored and take up is continued until sufficient fluid is collected. Analysis is only conducted when sufficient interstitial fluid has been collected.

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With regards to analysis of the interstitial fluid, taking glucose analysis as a specific example, two methods are generally used in blood glucose meters: color reflectance and sensor technology.

- 10 In color reflectance, or reflectance photometry, a drop of blood is placed on the strip. Glucose in the blood is oxidized enzymatically and then coupled with reduced chromogen to produce a color change in the strip. The color change is proportional to the amount of glucose present in the drop of blood. The meter quantifies the color change and generates a numerical value representative of the concentration of glucose present in the drop of blood.
- 15 The darker the color, the higher the concentration of glucose in the sample.

Sensor technology meters use an electrochemical process to determine the glucose concentration. Again, a drop of blood is placed on the test strip, and the glucose contained within the drop is oxidized enzymatically. An electrode quantifies the electrical charge

20 generated by this reaction and displays a numerical value representative of the concentration of glucose present in the drop of blood. Sensor meters are generally considered second-generation meters. It is here where technology is again influencing the way patients participate in SMBG.

- 25 Sensor meters may also be classified based on the electrochemical principle employed. That is amperometry or coulometry.

Amperometric meters use an electrochemical reaction, which in the presence of an applied potential results in electron transfer and generation of an electrical current that is

30 proportional to the concentration of glucose. This system measures a small percent of glucose and produces an electrochemical response curve that may be affected by the same

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factors that affect reflector meters: environmental temperature and variations in hematocrit. These factors may change the shape of the response curve and interfere with the accuracy of the glucose measurement. Also, this method is difficult to adapt to small blood samples because only a portion of the glucose is used to generate the electrochemical signal, and  
5 with small samples the signal will be weak. Therefore, the principle of amperometry requires a sufficient drop of blood to produce an accurate reading.

Coulometric meters, the newest technology on the market, use an electrochemical reaction whereby the total accumulated charge of the reaction is in proportion to the glucose  
10 concentration. In this system, all glucose is consumed and measured. In other words, coulometric meters convert the entire glucose content of a blood sample into an electric charge. Coulometric meters produce a response curve, but the total charge or area under the curve is used to calculate the glucose concentration. Factors such as environmental temperature and hematocrit may alter the shape of the response curve, but do not alter the  
15 area under the curve. Therefore, glucose measurements are unaffected by these factors. The principle of coulometry limits the effect of environmental temperature and variations in hematocrit. This method is ideal for small analyte samples because by converting all glucose present into a charge, the signal is stronger, and far less blood is required to produce an accurate reading of the corresponding glucose concentration.

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Blood glucose and interstitial fluid glucose levels are essentially equal when blood glucose is not changing rapidly (e.g. fasting glucose levels). However, rapidly changing glucose levels (after a high caloric meal, or after a high insulin dose) create a lag between blood  
and interstitial fluid measurements. The differences between the measurements in these  
25 fluids at this lag time do not affect the clinical utility of an interstitial fluid monitoring device because they are minor (lag usually only lasts 10 minutes) and because the data is analyzed in such a way that minor differences are negligible. Also, it has been shown that glucose levels in interstitial fluid actually drop before blood glucose and this would mean interstitial fluid monitoring would allow an impending hypoglycemic episode to be  
30 detected earlier than with blood monitoring. This is believed to be advantageous with regard to this particular area of application of the device of the invention.

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Analysis is preferably achieved electronically using an electrical system within the diagnostic device. That is, the electronic tracks of the test strip advantageously engage electrical contacts within the device to facilitate analysis of the fluid. The electrical contacts may also assist in holding the test strip in position during collection of the interstitial fluid.

The device preferably includes a mechanism for ejecting used test strips after testing is complete. The mechanism may be manual or automatic and preferably ejects the used test strip through a port in the device.

The disc may be encoded to facilitate calibration of the device. As such, the device may include means for reading the encoded disc, or may include input means for inputting relevant identification data which may be printed on the disc.

A laser can be used to perforate or alter the skin through the outer surface, such as the stratum corneum layer, but not as deep as the capillary layer, to allow the collection of biomolecules, fluids or gases as discussed above. Although the most preferred forms of collection have been described, it should be recognised that more active collection methods may utilize electrical gradients, vacuum or suction pressure, or a variety of other active transport methods. For example, in order to facilitate an electrical gradient for the purpose of capturing biomolecules from within a subject, the same procedure as is used in iontophoretic delivery of a particular substance may be used, except that the polarity of the electrodes used to establish the gradient are reversed. The present invention includes methods of collecting at least one substance from within a subject, comprising administering an amount of energy to a portion of skin sufficient to cause alteration at the energized site, at least as deep as the outermost surface of the stratum corneum, and collecting said substance from said energized site.

Once the desired substances have permeated through the skin, there are several means of capturing the substances for collection and analysis. Such capture means includes medium

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selected from the group consisting of gel, viscous materials, activated carbon or other adsorbant material such as ceramic, activated carbon; alternatively, absorbent medium such as patch or dressing materials may offer capture means. It should be understood that means for facilitating such collection may also be provided in the diagnostic device of the invention.

The collected substances may be used for a wide variety of tests. For example, the technique of the present invention may be used to sample extracellular fluid in order to quantify glucose or the like. Glucose is present in the extracellular fluid in the same concentration as (or in a known proportion to) the glucose level in blood (e.g. Lonnroth P. Strinberg L. Validation of the "internal reference technique" for calibrating microdialysis catheters *in situ*. Acta Physiologica Scandinavica 153(4):37580, 1995 Apr.)

Also, HIV is present extracellularly and it is obvious that there is a benefit to obtaining samples for HIV analysis without having to draw blood with a sharp that could subsequently contaminate the health-care provider.

The energy source may include any suitable means provided that perforation, ablation and/or alteration of the stratum corneum can be achieved. Various preferred options will be dealt with herebelow. However, it should be recognised that other forms of energy including mechanical, may be used in particular instances without departing from the invention.

The practice of the present invention has been found to be effectively performed by various types of lasers; for example, the Venisect, Inc., Er:YAG laser skin perforator, or the Schwartz: Electro-Optical Ho:YAG. Any pulsed or gated continuous wave laser producing energy that is strongly absorbed in tissue may be used in the practice of the present invention to produce the same result at a non-ablative wavelength, pulse length, pulse energy, pulse number, and pulse rate.

The Er:YAG lasing material is a preferred material for the laser used in accordance with

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the present invention because the wavelength of the electromagnetic energy emitted by this laser, 2.94 microns, is very near one of the peak absorption wavelengths (approximately 3 microns) of water. Thus, this wavelength is strongly absorbed by water and tissue. The rapid heating of water and tissue causes ablation or alteration of the skin.

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Other useful lasing material is any material which, when induced to lase, emits a wavelength that is strongly absorbed by tissue, such as through absorption by water or nucleic acids or proteins or lipids, and consequently causes the required perforation, ablation or alteration of the skin. A laser can effectively cut or alter tissue to create the  
10 desired ablations or alterations where tissue exhibits an absorption coefficient in the range of between about 10 to 10,000  $\text{cm}^{-1}$ . Examples of useful lasing elements are pulsed  $\text{CO}_2$  lasers, Ho:YAG (holmium:YAG), Er: YAP, Er/Cr:YSGG (erbium/chromium: yttrium, scandium, gallium, garnet; 2.796 microns), Ho:YSGG (holmium:YSGG; 2.088 microns), Er:GGSG (erbium: gadolinium, gallium, scandium, garnet), Er:YLF (erbium: yttrium,  
15 lithium, fluoride; 2.8 microns), Tm:YAG (thulium: YAG; 2.01 microns), Ho:YAG (holmium: YAG; 2.127 microns); Ho/Nd:YA103 (holmium/neodymium: yttrium, aluminate; 2.85-2.92 microns), cobalt:MgF<sub>2</sub> (cobalt: magnesium fluoride; 1.75-2.5 microns), HF chemical (hydrogen fluoride; 2.6-3 microns), DF chemical (deuterium fluoride; 3.6-4 microns), carbon monoxide (5-6 microns), deep UV lasers, diode lasers and  
20 frequency tripled Nd:YAG (neodymium:YAG, where the laser beam is passed through crystals which cause the frequency to be tripled). The traits common to all such lasing elements, justifying inclusion of each such element in this group, are that they are all capable of transmitting energy to the skin in the amounts and manner necessary to either reduce the electrical impedance of the skin or otherwise enhance permeation.

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Utilizing current technology, some of these laser materials provide the added benefit of small size, allowing the laser to be small and portable. In addition to Er:YAG, Ho:YAG or Er:YSGG lasers provide this advantage.

30 Optionally, the beam can be broadened, for instance through the use of a concave diverging lens, prior to focusing through the focusing lens. This broadening of the beam results in a

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laser beam with an even lower energy fluence rate a short distance beyond the focal point, consequently reducing the hazard level. Furthermore, this optical arrangement reduces the optical aberrations in the laser spot at the treatment position, consequently resulting in a more precise ablation or alteration. Also optionally, the beam can be split by means of a beam-splitter to create multiple beams capable of ablating or altering several sites simultaneously or near simultaneously.

In addition to the pulsed lasers listed above, a modulated laser can be used to duplicate a pulsed laser.

If the laser energy is not strongly absorbed in the tissue, a dye that absorbs said energy can be applied on, in or under the skin prior to application of the laser thereto. As such, the diagnostic device may include means for applying a dye to the area of skin to be treated or being treated.

In another embodiment of the invention, the energy source includes radiofrequency or microwave energy which is applied directly to the surface of the tissue, or to a target adjacent to the tissue, in such a way that the epithelial layers of the tissue are altered to make the layers "leaky". In the case of skin, the stratum corneum may be ablated through the application of electromagnetic energy to generate heat. Alternatively, shear forces may be created by targeting this energy on an absorber adjacent to the skin, which transfers energy to create stress waves that alter or ablate the stratum corneum. It is a specific embodiment of this invention that radiofrequencies producing a desired rapid heating effect, localized on stratum corneum, result in an ablative event, while minimizing coagulation. This removal of the stratum corneum in this way will result in increased permeability across the compromised tissue interface.

Alternatively, delivery of electromagnetic energy at these wavelength may be optimized, by adjusting pulse duration, dwell time between pulses, and power to result in a rapid, intermittent excitation of molecules in the tissues of interest, such that there is no net coagulation effect from heating, but molecules are altered transiently to effect a transient



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change in membrane conformation that results in greater, "leakiness". It is a further embodiment of the invention to continuously apply energy with the appropriate energy and pulse mode characteristics that these transient alterations are maintained as long as the energy cycle is applied, thus creating a means for maintaining increased membrane permeability over time.

In another embodiment, a "leaky" membrane or ablation site in skin may be created by first applying electromagnetic energy, including light, microwave or radiofrequency, such that membrane or intramembrane structures are realigned, or the membrane is compromised otherwise, so as to improve permeation. This step is followed by application of electromagnetic energy induced pressure to drive molecules across tissue interfaces and between cellular junctions at a greater rate than can be achieved by either method alone. The laser energy may be delivered continuously or in discrete pulses to prevent closure of the pore. Optionally, a different wavelength laser may be used in tandem to pump molecules through the pore than is used to create the pore. Alternatively a single laser may be modulated such that pulse width and energy vary and alternate over time to alternatively create a pore through which the subsequent pulse drives the molecule. As such, the diagnostic device may include a combination of energy sources to facilitate this embodiment.

In one embodiment, laser energy is directed through optical fibers or guided through a series of optics provided by the diagnostic device such that pressure waves are generated which come in contact with or create a gradient across the membrane surface. These pressure waves may be optionally used to create a pressure gradient such that the pressure waves facilitate permeation of, for example, interstitial fluid through the treated area.

In order to sterilize the skin before perforation, ablation or alteration, a sterile alcohol-impregnated patch of paper or other thin material can optionally be placed over the site to be ablated. This material can also prevent the blowing off of potentially infected tissue in the plume released by the ablation. The material must be transparent to the energy source, for example the laser beam. Examples of such material are a thin layer of quartz, mica, or

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sapphire. Alternatively, a thin layer of plastic, such as a film of polyvinyl chloride, can be placed over the skin. Although the laser beam will perforate the plastic, the plastic prevents most of the plume from flying out and thus decreases any potential risk of contamination from infected tissue. Additionally, a layer of a viscous sterile substance  
5 such as vaseline can be added to the transparent material or plastic film to increase adherence of the material or plastic to the skin and further decrease plume contamination. In this regard, the diagnostic device may be provided with an applicator for applying material or solution to the area of skin to be treated for sterilization purposes, or for any other purpose as desired.

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Reference will now be made to the accompanying drawings which illustrate preferred embodiments of the present invention and in which:

Figures 1A-1B illustrate a diagnostic device according to one aspect of the invention;

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Figure 2 illustrates insertion of a test strip cartridge into the diagnostic device of Figures 1A, 1B;

Figures 3A-3B illustrate views of the test strip cartridge;

Figures 4A-4B illustrate a tape which includes the test strips;

Figures 5A-5B illustrate a second embodiment of the diagnostic device;

20

Figure 6 illustrates the diagnostic device of Figures 5A-5B and a disc including a number of test strips; and

Figure 7 illustrates a test strip removed from the disc illustrated in Figure 6.

25

For convenience, the diagnostic device illustrated will hereinafter be referred to as a glucometer adapted for laser perforation, ablation or alteration of the stratum corneum. However, it should be recognised that various modifications to the illustrated devices may be possible.

30

Referring to Figures 1A-1B, a glucometer 10 includes a housing 11 for housing the componentry of the glucometer 10. The housing is formed from a resilient material, such as a resilient plastic material, for example by injection molding or the like. Componentry

- 17 -

housed by the housing 11 may include a laser diode, laser electronics, a power source such as a battery and various other componentry as desired. The battery power source 12 is represented in Figure 1B. The housing 11 is provided with a charger jack 13 for recharging the battery 12.

5

The external configuration of the glucometer 10 is molded to provide a contoured appearance. The upper side 14 of the glucometer 10 is provided with on/off buttons 15 and an LCD display 16. The LCD display 16 may provide an operator of the glucometer 10 with relevant information relating to the collection of biological samples such as interstitial  
10 fluid and the results of diagnostic analysis made on the sample. A laser fire button 17 is also provided which can be engaged by the operator when the glucometer 10 is positioned over an area of skin to be perforated, ablated or altered.

The underside 18 of the glucometer 10 includes a dye patch applicator 19 for applying a  
15 dye to the skin in order to amplify the laser efficacy for improved ablation. The dye may include any material which aids in the laser perforation, ablation or alteration of the stratum corneum.

A test strip cartridge 20 is housed in a housing 21 on the underside 18 of the glucometer  
20 10. A test strip 22 is fed from the cartridge 20 in use by an automatic mechanism. The cartridge 20 is clipped in place in the housing 21 by means of a clip 23. The underside 18 of the glucometer 10 is provided with a guide 24 through which the test strip 22 is fed to ensure that the test strip is positioned over the area of skin to be tested in an appropriate fashion. In this regard, the glucometer 10 may also be provided with a number of safety  
25 mechanisms 25 incorporated into the design of the glucometer 10 to prevent operation of the unit or firing of the laser unless the cartridge 20 is correctly loaded into the glucometer 10, or unless the test strip 22 is correctly positioned for diagnosis.

Referring to Figures 3A-3B and Figures 4A-4B, the cartridge 20 is provided with a micro-  
30 PCB which contains a calibration code and identification number for the cartridge 20. A tape 31 on which is mounted a plurality of test strips 22 is fed through an aperture 32 in the

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cartridge 20 for diagnosis. The test strips 22 may be applied to the tape 31 by any suitable means, such as via an adhesive. As can be seen in Figure 3B, the tape 31 to which have been applied a plurality of test strips 22 in a concertina within the cartridge 20. This advantageously facilitates multiple analysis to be carried out without replacement of the  
5 cartridge 20.

The tape 31 itself is formed from an appropriate material such as Teflon so that when positioned in the cartridge, a film of Teflon is interposed between adjacent test strips 22. This ensures that contamination of subsequent test strips 22 is avoided in use. The lengths  
10 of Teflon 33 of the tape 31 are provided with perforations 34 so that each test strip 22 may be removed from the tape 31 and discarded after use.

Each test strip 22 includes an absorbent portion 35 of porous material which absorbs interstitial fluid permeating through the skin following perforation, ablation or alteration.  
15 The absorbent portion 35 further includes a transmission window 36 which is adapted to transmit laser energy. The transmission window includes a Teflon film through which the laser beam passes with minimal aberrations and losses. The inclusion of a Teflon film in the window 36 provides a protective barrier and advantageously prevents any biological splash back entering and contaminating the glucometer 10 when the skin is perforated,  
20 ablated or altered. Furthermore, the disposable Teflon-backed tape 31 advantageously protects multiple users from cross-contamination from ablated skin waste.

Each test strip 22 further includes a compartment 37 which is in fluid communication with the absorbent portion 35 and which, therefore receives interstitial fluid from the absorbent  
25 portion 35. Analysis of the interstitial fluid is conducted in the compartment 37 by means of electronic or colour change systems which are provided by the glucometer 10.

Referring to Figures 5A-5B and Figure 6, in an alternative embodiment the glucometer 10 is adapted to house a disc 50 which includes a plurality of receptacles 51, each of which  
30 contains a test strip 70 (illustrated in Figure 7). In this embodiment, a disc housing is provided with a closure 52 which secures the disc in place in the disc housing. Again, the

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glucometer 10 is provided with an on/off switch 53 which in this case also acts as the laser fire switch. There is also provided a battery power source 54 and a charger jack 55 for recharging the battery. An LCD display 56 and dye patch applicator 57 are also provided.

5 In this case, a two-step analytical diagnosis is envisaged whereby a laser is initially applied to the skin to perforate, ablate or alter the stratum corneum and to facilitate permeation of interstitial fluid through the skin. Subsequent to this, an individual test strip 70 is ejected from the receptacle 51 of the disc 50 in which it is housed so that the test strip 70 comes in contact with the interstitial fluid. Ejection of the test strip is facilitated by an ejection  
10 mechanism 58 which is operable by sliding a button 59 on the upper side of the glucometer 10 from a first position toward the on/off switch 53 to a second position 60 (illustrated in Figure 6) along a slot 61.

The glucometer 10 is further provided with a laser aperture 62 through which a laser beam  
15 passes. The laser aperture 62 advantageously includes a protective barrier such as a Teflon lens which prevents any biological splash back from gathering on, in or around the laser aperture 62.

In this embodiment, the disc 50 is advantageously provided with a printed identification  
20 number 63 which may be used for calibration of the glucometer 10. That is, this number may be programmed into the glucometer 10 once the glucometer is turned on to effect calibration of the unit. Each of the test strips 70 of the disc 50 includes a capillary 71 for siphoning of interstitial fluid from the surface of the perforated, ablated or altered skin. The capillary is in fluid communication with electronic sensors 72 on the end of the test  
25 strip 70. The electrical sensors 72 sense when a sufficient quantity of interstitial fluid has been collected and, at that time, analysis of the fluid is initiated. In this regard, the electronic sensors are advantageously in electrical contact with contacts housed within the glucometer 10 providing a means to analyse the interstitial fluid, and also acting to hold the test strip 70 in place during the testing procedure. The test strip 70, therefore, is  
30 formed as a multi-layer structure including a plastic base 73, the electrical sensors 72 and the capillary 71.

- 20 -

In use, the diagnostic device is applied to the skin surface at a desired area to be perforated, ablated or altered. A dye patch is applied to the area of skin if desired prior to firing of the energy source, for example the laser, onto the skin.

5

The area of skin on which the analysis is performed is advantageously uniform in thickness and easily accessible, such as the four arm or the thigh. The area of ablation or alteration is generally approximately 400 microns in diameter and between 20-100 microns in depth. At this depth, interstitial fluid is easily accessible and permeable through the skin.

10

Advantageously, the device according to the invention makes it possible to conduct an analysis on a biological sample in a single or minimal steps without requiring repositioning of the device during the analysis.

15

Still further, it is envisaged that the device may further include means for administering a pharmaceutically active substance to a patient following, and in response to the results of the diagnostic analysis conducted. More particularly, it is envisaged that the delivery of the pharmaceutical substance through the skin will be substantially enhanced due to the perforation, ablation or alteration which has been made to the stratum corneum. As such, any suitable means may be provided to facilitate administration of the pharmaceutical substance through the treated area of skin, preferably without repositioning of the device.

20

Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" and "comprising", will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integers or steps.

25

The reference to any prior art in this specification is not, and should not be taken as, an acknowledgment or any form of suggestion that that prior art forms part of the common general knowledge in Australia.

30

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Those skilled in the art will appreciate that the invention described herein is susceptible to variations and modifications other than those specifically described. It is to be understood that the invention includes all such variations and modifications which fall within its spirit and scope. The invention also includes all the steps, features, compositions and  
5 compounds referred to or indicated in this specification, individually or collectively, and any and all combinations of any two or more of said steps or features.

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## CLAIMS:

1. A diagnostic device for collecting and analysing a biological sample comprising:
  - an energy source providing means for perforating, ablating and/or altering the stratum corneum of an area of skin from which the biological sample is to be collected;
  - 5 a housing for receiving at least one test strip, the test strip being adapted to collect biological sample from the perforated, ablated and/or altered area of skin; and
  - analysing means for conducting diagnostic analysis of the collected sample.
- 10 2. A device according to claim 1, wherein the housing is adapted to receive a cassette which includes a plurality of test strips, each of which is adapted to collect biological sample.
- 15 3. A device according to claim 2, wherein the device is provided with a guide or guides for guiding the test strips into a desired position for collection of biological sample.
- 20 4. A device according to claim 1, wherein the housing is adapted to receive a disc which includes a plurality of receptacles, each of which houses a test strip, the disc being rotatably mountable within the diagnostic device so that each test strip can be rotated into place for collection of sample as desired.
- 25 5. A device according to claim 1, wherein the device is provided with means for deactivating the device until a test strip is suitably positioned on the device.
6. A device according to claim 1, wherein analysis of the sample is achieved electronically using an electrical system within the diagnostic device.



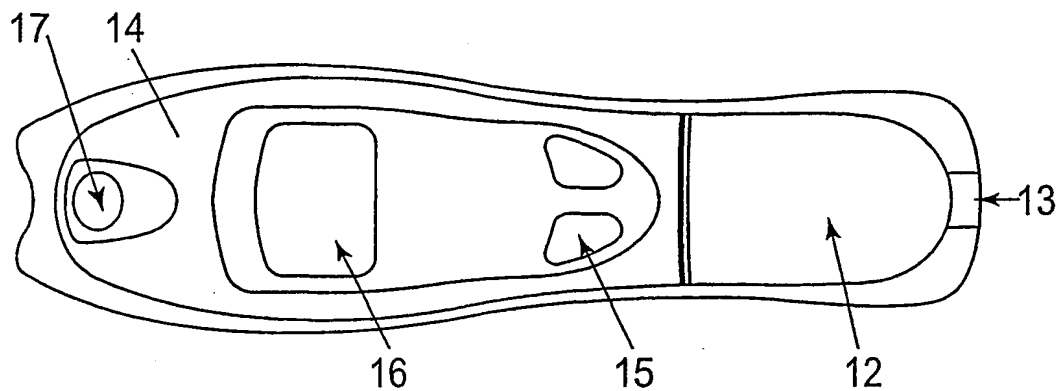
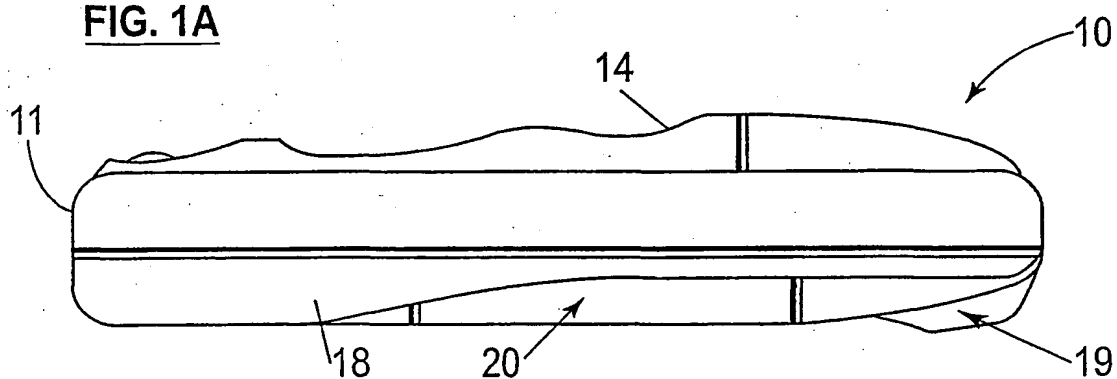
- 23 -

7. A device according to claim 1, wherein the device includes a mechanism for ejecting used test strips after testing is complete, preferably ejecting the used test strip through a port in the device.
- 5 8. A device according to claim 1, wherein the energy source includes a laser
9. A device according to claim 8, wherein the laser is an Er:YAG, Ho:YAG pulsed CO<sub>2</sub> lasers, Er:YAP, Er/Cr:YSGG, Ho:YSGG, Er:GGSG, Er:YLF, Tm:YAG, Ho:YAG, Ho/Nd:YA103, cobalt:MgF<sub>2</sub>, HF chemical, DF chemical, carbon  
10 monoxide, deep UV, diode or frequency tripled Nd:YAG laser.
10. A device according to claim 1, wherein the device includes means for applying a dye to the area of skin to be treated or being treated.
- 15 11. A device according to claim 1, wherein the energy source includes radiofrequency microwave energy.
12. A diagnostic device for collecting and analysing a biological sample comprising:  
an energy source providing means for perforating, ablating and/or altering  
20 the stratum corneum of an area of skin from which the biological sample is to be collected;  
collection means for collecting the biological sample during or subsequent to perforation, ablation and/or alteration of the stratum corneum; and  
analysing means for conducting diagnostic analysis of the collected sample.
- 25 13. A cartridge containing a plurality of test strips for collecting a biological sample, each test strip comprising an absorbent portion for absorbing the biological sample at an area of skin which has had applied thereto an energy source to perforate, ablate or alter the stratum corneum of the skin, wherein said test strips are adapted  
30 to facilitate transmission of the energy source to the skin.

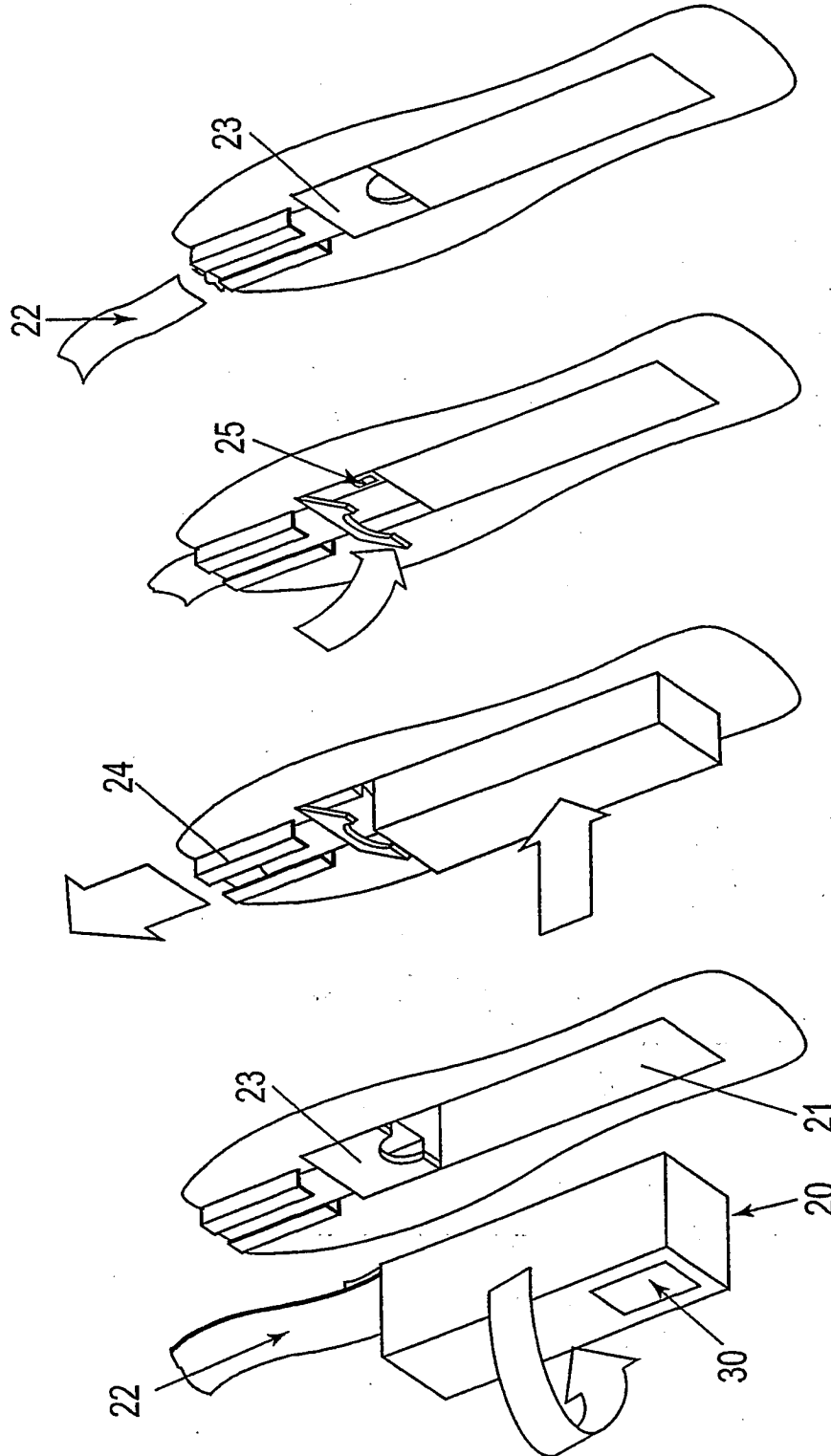
- 24 -

14. A cartridge according to claim 13, wherein the test strips are mounted on a continuous tape, preferably a tape formed from a barrier-type material such as Teflon, the test strips being spaced apart on the tape such that when housed within a cartridge, sections of the tape which do not have a test strip applied thereto are interposed between adjacent test strips and thereby act as a protective barrier preventing biological cross-contamination between the test strips.
15. A cartridge according to claim 14, wherein perforations are provided between individual test strips so that after use a test strip may be removed from the continuous tape and discarded.
16. A cartridge according to claim 13, wherein the test strips include a transmission window to facilitate transmission of a laser through the test strip to the area of skin to be perforated, ablated and/or altered.
17. A cartridge according to claim 13, wherein each test strip is provided with a chamber which is in fluid communication with the absorbent portion and which receives the interstitial fluid.
18. A cartridge according to claim 13, wherein the cartridge is encoded.

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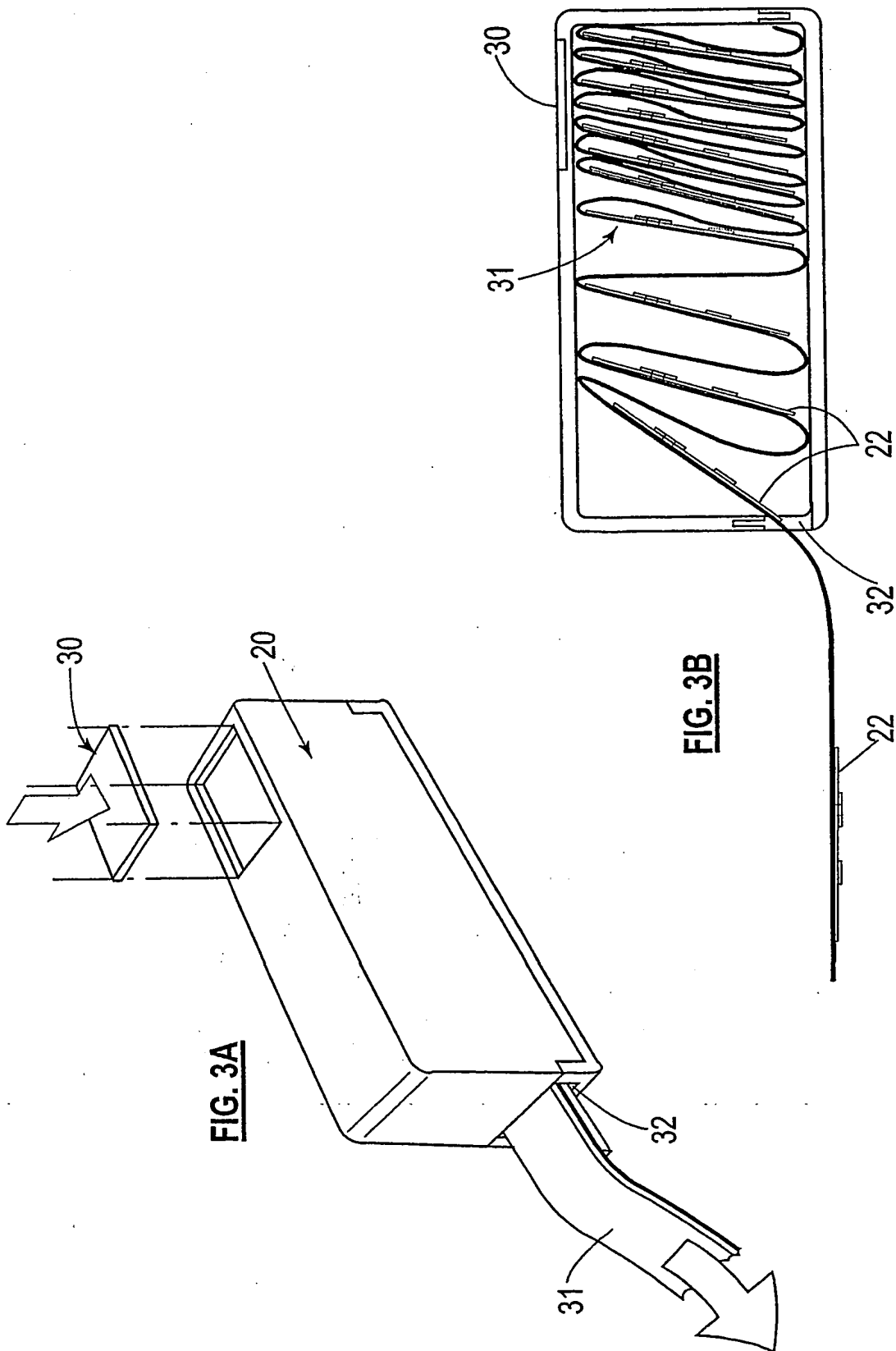
**FIG. 1A****FIG. 1B**

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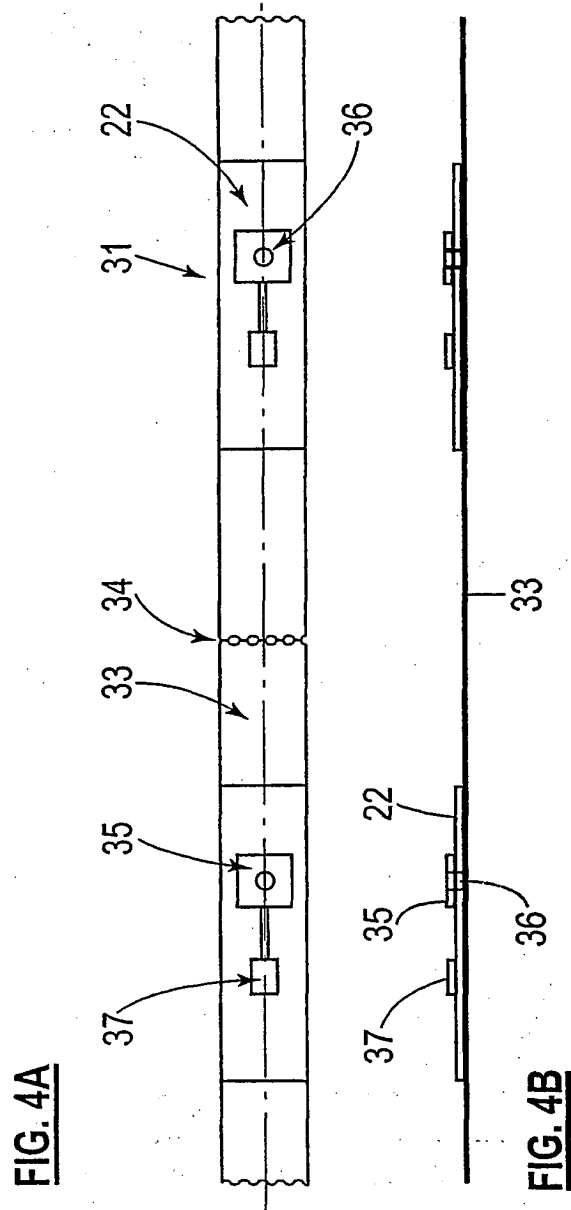


**FIG. 2**

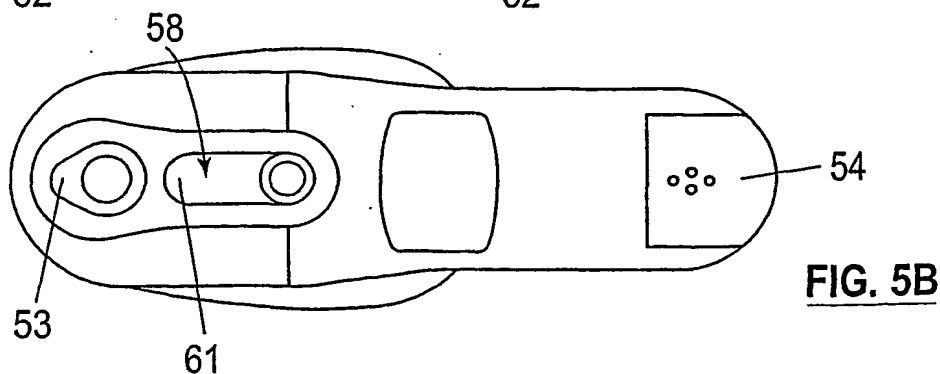
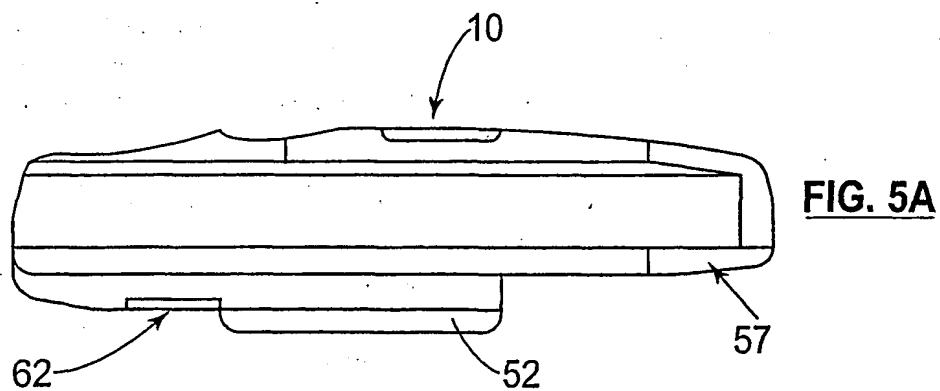
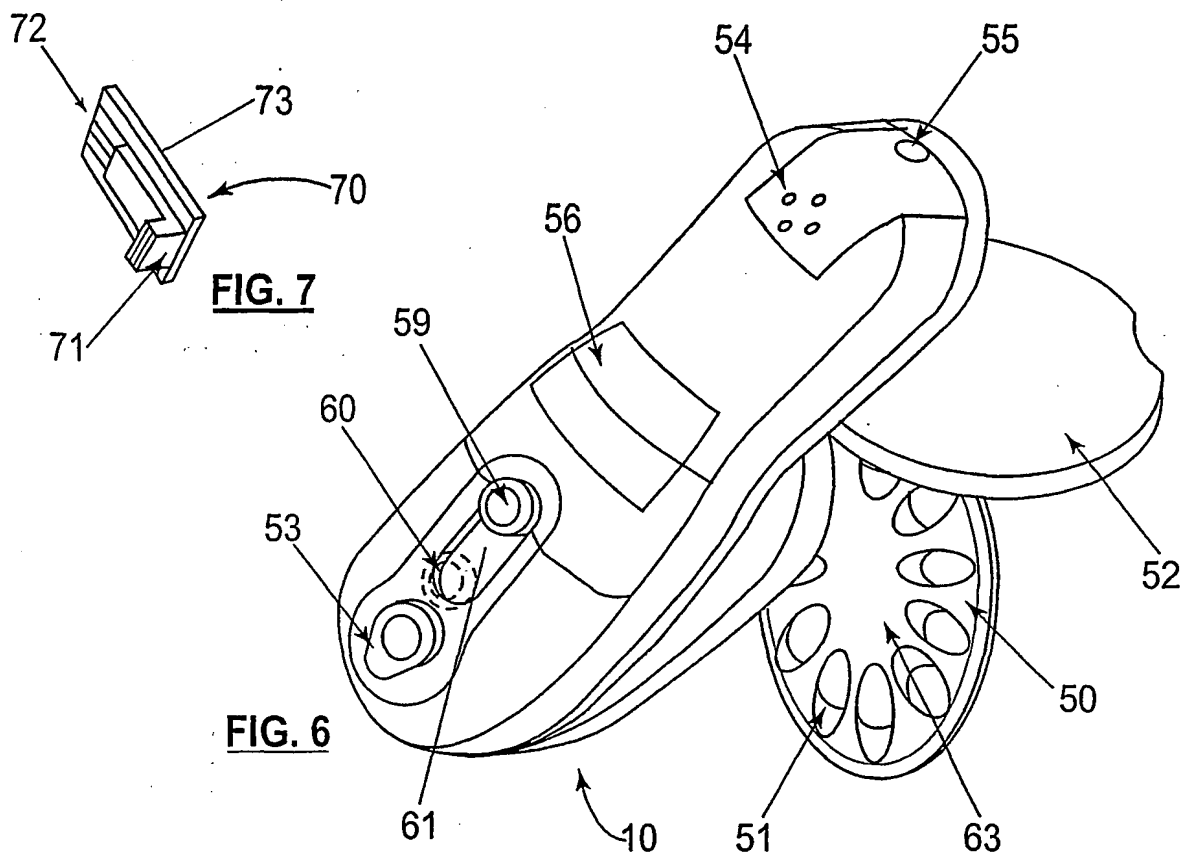
3/5



4/5



5/5



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU01/01223

**A. CLASSIFICATION OF SUBJECT MATTER**Int. Cl. <sup>7</sup>: A61B 5/145, 10/00, 17/20

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

REFER ELECTRONIC DATABASE CONSULTED BELOW

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

DWPI: &amp; keywords: collect, skin, enhance, energy and similar terms

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99/44508 A1 (SPECTRX INC et al.) 10 September 1999 See pages 8-12, 18-19, 39-44.	1-18
X	WO 97/07734 A1 (SPECTRX INC et al) 6 March 1997 See pages 7-9, 21-23, 31, 58-59	1-3, 5-13, 16-18
X	WO 97/30749 A1 (ABBOTT LABORATORIES) 28 August 1997 See page 7 line 35-page 9 line 39 and claims.	1-2, 5-7, 12-13, 16-18

☒ Further documents are listed in the continuation of Box C ☒ See patent family annex

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

27 November 2001

Date of mailing of the international search report

30 NOV 2001

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU01/01223

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5722397 A (EPPSTEIN) 3 March 1998 See column 4 line 41-column 6 line 8, column 17 line 15-column 20 line 27.	1-2, 5-7, 10, 12-13, 16-18
X	WO 00/14535 A1 (AMIRA MEDICAL) 16 March 2000 See page 6 line 15-page 7 line 20, and claims 9-10.	1-3, 6, 8-9, 11-12
X	WO 94/09713 A1 (VENISECT INC) 11 May 1994 See entire document.	12
X Y	EP 299519 B1 (FUJI PHOTO FILM CO LTD) 3 May 1995 See column 6 line 32-column 12 line 58.	13, 18 14
Y	EP 185982 A1 (MILES LABORATORIES INC) 2 July 1986 See entire document.	14

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU01/01223

## Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos :  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos :  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos :  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

## Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

See supplemental sheet for explanation

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.

**PCT/AU01/01223**

**Supplemental Box**

(To be used when the space in any of Boxes I to VIII is not sufficient)

**Continuation of Box No: II**

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are different inventions as follows:

1. Claims 1-11 are directed to a diagnostic device for collecting and analysing a biological sample. It is considered that the combination of an energy source for perforating, ablating and/or altering the stratum corneum, a housing for receiving at least one test strip and analysing means for conducting analysis of the collected sample comprises a first "special technical feature".
2. Claim 12 is similarly directed to a diagnostic device for collecting and analysing a biological sample. It is considered that the use of an energy source for perforating, ablating and/or altering the stratum corneum, collection means for collecting the biological sample and analysing means for conducting analysis of the collected sample comprises a second "special technical feature".
3. Claims 13-18 are directed to a cartridge containing a plurality of test strips for collecting a biological sample. It is considered that the use of a test strip which has an absorbent portion and which is adapted to facilitate transmission of an energy source to the skin comprises a third "special technical feature".

Since the abovementioned groups of claims do not share any of the technical features identified, a "technical relationship" between the inventions, as defined in PCT rule 13.2 does not exist. Accordingly the international application does not relate to one invention or to a single inventive concept, a priori.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.  
**PCT/AU01/01223**

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Member			
WO 9944508	AU	28986/99	AU	29888/99	AU 29893/99
	EP	1059882	EP	1059883	EP 1059938
	WO	9944507	WO	9944638	
WO 9707734	AU	68631/96	BR	9610012	CA 2199002
	CN	1195276	EP	858285	GB 2307414
	HK	1009321	NO	980878	US 5885211
	US	6142939	US	5445611	US 5458140
	US	6018678	US	5722397	AU 65987/96
	CA	2200984	EP	781150	US 5814599
	WO	9704832	US	6041253	US 5947921
	US	6002961			
WO 9730749	CA	2245712	EP	880375	US 5895362
WO 200014535	US	6172084	US	6103905	AU 79797/98
	AU	82586/98	EP	991623	HU 200003364
	NO	996269	WO	9857931	WO 9857952
	US	6207679	AU	200019335	WO 200034265
WO 9409713	AU	55876/94	EP	666726	EP 1132055
	EP	1133952	EP	1133953	US 5643252
	US	5839446	US	6251100	AU 59173/98
	BR	9807816	EP	1006902	WO 9833444
	US	6056738			
EP 299519	JP	1020454	US	5122343	US 5169600
	JP	1020455	JP	1080865	JP 1080866
EP 185982	AU	49188/85	CA	1239571	ES 549872
	ES	8801033	JP	61153566	US 4622207
END OF ANNEX					